# **Functional Alignment of Protein Language Models via Reinforcement Learning with Experimental Feedback**

Nathaniel Blalock\* Chemical and Biological Engineering University of Wisconsin-Madison

Agrim Babber Biochemistry University of Wisconsin-Madison Srinath Seshadri\* Biomedical Engineering Duke University

Sarah Fahlberg Biochemistry University of Wisconsin-Madison

Philip Romero\* Biomedical Engineering Duke University

## Abstract

Protein language models (pLMs) have emerged as state-of-the-art tools for generative protein sequence design. pLMs however do not inherently design new sequences with function beyond what occurs in nature, demonstrating a misalignment with the protein engineering objective of redesigning a protein sequence with enhanced function. In the field of natural language processing, Reinforcement Learning with Human Feedback (RLHF) aligned the large language model Chat-GPT towards preferred responses via supervised fine-tuning (SFT) and proximal policy optimization (PPO). We adapt SFT and PPO for the functional alignment of pLMs using experimental data and call this method Reinforcement Learning with Experimental Feedback (RLXF). We use RLXF to align ESM-2 and a generative variational autoencoder to design 5 mutant variants of the oxygen-independent fluorescent protein CreiLOV. We find a greater fraction of designs from aligned ESM-2 were active and at least half as bright as CreiLOV with *in vivo* fluorescence assays. We present RLXF as a versatile method to functionally align pLMs using experimental data for protein sequence redesign.

## **1** Introduction

pLMs have emerged as a state-of-art tool for generative protein sequence design. These models utilize transformer-based architectures [1, 2, 3, 4, 5] to learn complex evolutionary and structural dependencies from natural protein sequences [1, 4] and structures [5, 6, 7, 8]. This allows pLMs to construct rich representations of functional and structural protein design constraints [9, 10, 11] learned via masked language modeling (MLM) [1, 5, 7, 12, 13, 14, 15] or casual language modeling (CLM) [16, 17, 18, 19, 20] pre-training objectives. Recently, pLMs designed novel and functional GFP variants [5], lysozyme variants [16], and peptide binders [21, 22], amongst others. While the generation of functional GFP and lysozyme variants far in sequence identity from natural training examples is a remarkable stride towards *de novo* protein design, the function of these variants remained within the distribution of natural sequences. These results suggest pLMs are misaligned with an important protein engineering objective: redesigning protein sequences with enhanced

<sup>\*</sup>Correspondence: nblalock@wisc.edu, sri.seshadri@duke.edu, philip.romero@duke.edu

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function [23]. Thus, there is a need for a computational workflow that leverages experimental data to align pLMs for generative protein redesign.

Reinforcement learning with human feedback (RLHF) [24, 25] aligned large language models like ChatGPT towards human-preferred responses and away from offensive or harmful outputs, without catastrophic forgetting of pre-training knowledge [26, 27, 28]. Remarkably, RLHF outperformed supervised fine-tuning (SFT) alone [26, 25], allowed targeted and iterative enhancements of model responses [27, 28], and reduced compute demands by approximately 1000-fold compared to traditional reinforcement learning [24]. Our method Reinforcement Learning with Experimental Feedback (RLXF) is adapted from the RLHF workflow [26] and is guided by an ensemble of reward models trained with deep mutational scanning (DMS) data (Figure 1).



Figure 1: Reinforcement Learning with Experimental Feedback (RLXF). (a) RLXF consists of pre-training ESM-2 with natural protein sequence data [4], briefly supervised fine-tuning ESM-2 towards experimentally validated designs functionally similar to CreiLOV, and aligning ESM-2 using PPO guided by an ensemble of reward models trained with CreiLOV DMS data. (b) PPO iteratively updates ESM-2 weights *N* times each epoch to become more likely to generate brighter and diverse sequence designs. Backpropagation occurs through the probabilities from the aligned model for amino acids sampled by the aligned ESM-2 model for amino acids sampled by the aligned model for amino acids sampled by the pre-trained model for amino acids sampled by the aligned term consists of a normalized predicted log fluorescence score from our ensemble of reward models, a pairwise Hamming distance term to encourage sequence diversity, and a Kullback-Leibler divergence penalty ( $D_{\rm KL}$ ) to prevent drastic updates to ESM-2 weights that result in forgetting knowledge gained during pre-training. PPO loss is clipped with the epsilon ( $\epsilon$ ) term to stabilize training.

We align the pLM ESM-2 [4] and a generative protein sequence variational autoencoder (VAE), trained with a method previously shown to be capable of designing novel, diverse, and functional luciferases [29], to redesign the flavin-binding fluorescent protein CreiLOV [30, 31]. CreiLOV is an attractive target as a reporter protein for studying hypoxic/anaerobic environments such as gut microbiomes, tumor environments, and high-density fermentations [32] that the ubiquitous oxygen-dependent green fluorescent protein (GFP) cannot. Designing a more fluoresent CreiLOV variant would create a more practical reporter protein as CreiLOV is significantly less fluorescent than GFP.

# 2 Methods

We describe methods in the supplementary methods.

# **3** Results

We trained an ensemble of multi-layer perceptron reward models with 6,925 sequences containing 1-4 mutations from a CreiLOV DMS dataset [33] to predict the log mean fluorescence of CreiLOV variants (Table S1). The ensemble of reward models achieved a Spearman correlation of 0.93 for a test set containing 5 mutant variants of CreiLOV, indicating an ability to guide the alignment of ESM-2 and the VAE during PPO (Figure S1).

The VAE was pre-trained on a multiple sequence alignment of curated natural sequences related to CreiLOV (Figure S2-S3). The VAE could reconstruct CreiLOV and generate sequence designs similar in sequence identity to CreiLOV, suggesting the VAE did not require SFT to initialize parameters for alignment.

Pre-trained ESM-2 can generate sequence designs similar in sequence identity to CreiLOV (Figure S4).

We supervised fine-tuned ESM-2 to maximize the likelihood of the best mutations in the DMS dataset using pairs of prompts (*CreiLOV variants with beneficial amino acid mutations masked*) and responses (*CreiLOV variants with beneficial amino acid mutations unmasked*) (Figure S5).

Alignment with RLXF improved the likelihood of ESM-2 and the VAE to design CreiLOV variants with greater predicted log fluorescence than pre-trained models (Figure 2a-b, Figure S6-S7). Not only did RLXF shift the distribution of model sequence designs toward brighter designs, aligned models learned to avoid mutating flavin-binding residues and extrapolated beyond the mutational regime of wet lab experimental training data, suggesting effective exploration and exploitation of CreiLOV sequence design space (Figure 2c, Figure S8).

When sampling 1000 designs from each model, aligned ESM-2 generated design with the greatest maximum predicted log fluorescence and the aligned VAE generated designs with the greatest mean, median, and minimum predicted log fluorescence. The win rate of all models improved after alignment (Table 1).

Metric	Pre-trained ESM-2	SFT ESM-2	Aligned ESM-2	Pre-trained VAE	Aligned VAE
Mean	3.9735	4.0041	4.0036	4.0381	4.0878
Median	3.9898	4.0151	4.0143	4.0380	4.0949
Max	4.1006	4.1578	4.1592	4.1123	4.1248
Min	3.6266	3.7027	3.7027	3.9066	3.9786
Win Rate vs. Pre-trained ESM-2	-	0.623	0.620	0.740	0.955
Win Rate vs. SFT ESM-2	0.377	-	0.854	0.646	0.891
Win Rate vs. Pre-trained VAE	0.260	0.354	0.352	-	0.902

Table 1: RLXF aligns models to design 5 mutant variants with enhanced predicted fluorescence.

We validated designs from ESM-2 with *E. coli*-based fluorescence assays (Figure 3). We found that a greater fraction of designs from aligned models compared to pre-trained models were active and at least half as bright as CreiLOV. However, we were unable to design a sequence brighter than CreiLOV with the current implementation of SFT and PPO.

Interestingly, we found that increases in the top 10% recall metric correlated more strongly with improved generative performance of models than the widely used Spearman correlation metric (Table S2). This suggests the top 10% recall metric better indicates the functional alignment of pLMs for generative protein redesign.

# 4 Discussion

In this work, we propose RLXF to deploy a pLM aligned with SFT and PPO for the optimization of protein sequences with *in vivo* fluorescence beyond what occurs in nature. Our method provides



Figure 2: *In silico* evaluation of aligned models. (a) Histograms depicting the distribution of predicted log fluorescence for 1,000 designs generated by pre-trained and aligned models. The predicted fluorescence of CreiLOV is indicated for reference. (b) Predicted log fluorescence relative to CreiLOV and probability ratios between pre-trained and aligned model samples increased during training. (c) Mutational frequency and Shannon entropy indicate how often models mutate each position of CreiLOV and the diversity of these mutations. Residues outlined in black are flavin-binding residues.



Figure 3: In vivo fluorescence assay data from pre-trained and aligned ESM-2.

an alternative to training new pLMs with intensive compute resources and large databases. Given that common pre-training objectives do not always scale with model size [5, 34], our method also provides an alternative to creating new pre-training tasks [35, 34].

We chose PPO rather than other policy optimization methods, such as direct preference optimization used in related studies [5, 1, 36, 37], because PPO excels at complex generative tasks [38, 39]. The *in vivo* fluorescence of our designs and the increasing number of studies using reinforcement learning techniques may signify a shift in protein engineering paradigms towards supplementing the knowledge already present in pLM representations with experimental data for domain-specific tasks. While our method is sensitive to hyperparameter selection during SFT and PPO, Optuna [32] provided an automated and efficient manner to optimize hyperparameters for stable alignment. We may be able to reduce the experimental data required to align pLMs with RLXF by deploying a VAE as a reward model, as our VAE appeared to learn local evolutionary patterns specific to CreiLOV functional requirements during pre-training.

The aligned VAE quickly converged on a few mutations during alignment (R5E, P35K, P82K, and G115A/S) while ESM-2 did not. Granted, the brightest designs from ESM-2 often had non-polar amino acids substituted with positively charged amino acids. We hypothesize this observation comes from differences in ESM-2 and VAE pre-training and model size. The VAE is pre-trained with an MSA containing local evolutionary information while ESM-2 is trained on protein sequences sampled across nature [4]. This biases ESM-2's per-residue likelihoods towards general trends across evolution rather than family or domain-specific mutations.

Alignment responses may scale with model size [5]. However, it is not clear if this is the result of larger pLMs learning additional general protein design constraints during pre-training or the increase in model size preventing rapid over-fitting to local maxima during alignment. Interestingly, we found that aligning ESM-2 8M was significantly more challenging than aligning ESM-2 35M. In addition, the predicted log fluorescence of sequence designs from pre-trained ESM-2 models increases with model size up to ESM-2 650M (Figure S9). We hypothesize that larger pLMs than the ESM-2 35M we aligned in this study can more effectively explore complex sequence-function mappings, often called a protein fitness landscape, to design protein sequences with the greatest functional enhancements. In addition, RLXF can be adapted for iterative, multi-objective functional alignment of pLMs, perhaps to explore vast design landscapes using a self-driving lab [40] to efficiently obtain experimental data.

We hypothesize we were unable to design a variant brighter than CreiLOV as a result of pretraining knowledge lost during SFT. The single mutant logit space from the supervised fine-tuned ESM-2 revealed supervised fine-tuned ESM-2 could no longer reconstruct CreiLOV (Figure S10). Interestingly, ESM-2 still learned several beneficial mutations during SFT and PPO to increase the fraction of designs from ESM-2 that were active and at least half as bright as CreiLOV.

We are investigating these hypotheses to design variant sequences brighter than CreiLOV and further establish guidelines for the functional alignment of pLMs.

## **5** Acknowledgements

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## **6** Supplementary Methods

#### 6.1 Data Curation for Reward Models and VAE

We used data to train reward models from an extensive CreiLOV DMS dataset [33]. The training split contains 2,204 single mutants (92.6% coverage), 176 double mutants, 978 triple mutants, and 3,565 four mutation variants. We curated validation and test sets using 75/25 data splits for 9,603 five mutation variants.

We curated 260,349 natural sequences related to CreiLOV from the protein database UniRef90 [41] with the Hidden-Markov model homology search tool Jackhmmer [42] to obtain a multiple-sequence alignment (MSA) [43, 44, 45] containing proteins related to CreiLOV with a maximum of 2 iterations (N=2). We removed sequences less than 75% of the length of CreiLOV [45], removed sequences with an amino acid repeating 10 times in a row, and removed positions of the MSA not corresponding to CreiLOV [29]. We reweighted the remaining 243,682 sequences with neighbors classified as having a Hamming distance/length of sequence greater than 0.8 to reduce phylogenetic bias from uneven sampling [45, 46, 47]. We withheld 100 sequences from the reweighted MSA to later assess VAE overfitting to the training set as a pseudo-test set given that these sequences are unlabeled. We randomly sampled the remaining 243,582 sequences with a 90/10 split for the training and validation sets.

#### 6.2 Training Reward Models

We trained 100 multi-layer perceptrons with fixed training, validation, and test data splits to predict the log mean fluorescence of CreiLOV variants in a supervised manner using a mean squared error (MSE) loss objective. Each MLP in the ensemble was initialized with a different seed. Input sequences were one-hot encoded. Hyperparameters for the ensemble of multi-layer perceptron reward models are included in Section 6.8 of the supplementary methods.

#### 6.3 VAE Pre-training

The VAE is pre-trained by maximizing a modified version of the Evidence Lower Bound (ELBO) that effectively minimizes the Kullback–Leibler divergence between the variational approximation and the true posterior distribution [45]:

$$\mathcal{L}(\phi, \theta; \mathbf{x}) = \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})}[\log p_{\theta}(\mathbf{x}|\mathbf{z})] - \beta D_{\mathrm{KL}}(q_{\phi}(\mathbf{z}|\mathbf{x}) \| p(\mathbf{z}))$$
(1)

The first term can be considered a reconstruction loss that is computed using cross-entropy between an input one hot-encoded sequence and output likelihoods. The second term is a Kullback-Leibler divergence term  $(D_{KL})$  with the prior distribution  $p(\mathbf{z})$  of  $\mathcal{N}(0, \mathbf{I})$ ).  $\beta$  is the weight for the KL divergence term.

The VAE essentially receives batches of one-hot encoded sequences from the reweighted MSA, compresses the one-hot encoded sequences into a regularized latent space during encoding, and reconstructs these natural sequences from the latent space during decoding. Hyperparameters were selected by a grid search of 673 combinations are included in Section 6.8 of the supplementary methods.

#### 6.4 Supervised Fine-tuning ESM-2

We briefly finetune ESM-2 35M in a supervised manner with the top 512 scoring variants in the training set, using the standard masked language modeling (MLM) training objective. We chose ESM-2 35M to make our method practical to those without intensive compute resources.

For input into ESM-2, we masked the mutations relative to wild type CreiLOV for all 512 sequences. This strategy is also referred to as instruction tuning in the literature.

During training, we minimize the cross-entropy between this preference data, *i*, and ESM-2 predictions, *j*:

$$L_{CE} = -\frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{M} y_{ij} \log(p_{ij})$$
<sup>(2)</sup>

We conduct 1,000 trials of hyperparameter optimization using the Optuna Tree-Parzen Estimator (TPE) sampler and a custom PyTorch callback to terminate trials if model parameters became nonfinite (i.e., NaN or infinity) after each training batch. We utilized two NVIDIA GeForce RTX 4090 GPUs (48 GB of VRAM). Hyperparameters selected are included in Section 6.8 of the supplementary methods.

#### 6.5 Policy Proximal Optimization

Our PPO implementation utilizes two copies of SFT ESM-2 or the pre-trained VAE weights. One copy remains frozen while the aligned model has trainable parameters. In agreement with reinforcement learning terminology, we refer to a model as a policy in this section.

Proximal policy optimization relies on the minimization of a clipped surrogate objective function often called a clipped PPO loss:

$$L^{CLIP}(\theta) = \hat{\mathbb{E}}_t \left[ -\min\left( r_t(\theta) \hat{A}_t, \operatorname{clip}(r_t(\theta), 1 - \epsilon, 1 + \epsilon) \hat{A}_t \right) \right]$$
(3)

The probability ratio  $r_t(\theta)$  is between the trainable policy  $\pi_{\theta}$  and the frozen policy  $\pi_{\theta_{old}}$ .

$$r_t(\theta) = \frac{\pi_{\theta}(a_t|s_t)}{\pi_{\theta_{old}}(a_t|s_t)} \tag{4}$$

 $\hat{A}_t$  is the advantage estimate. The hyperparameter  $\epsilon$  establishes a pessimistic bound on model updates, preventing excessively large model updates to help balance exploration and exploitation. We estimate  $A_t$  using the total reward R(x, y) similar to [25] with sequence prompts x to models and sampled sequence designs y:

$$R(x,y) = r_{\theta}(x,y) + \gamma H(y) - \beta D_{\rm KL}$$
(5)

The total reward R(x, y) consists of three components: a normalized predicted log mean fluorescence term  $r_{\theta}(x, y)$  from our ensemble of reward models, a weighted pairwise Hamming distance term  $\gamma H(y)$  between sampled sequence outputs to encourage the generation of diverse sequences, and a weighted Kullback–Leibler divergence penalty  $D_{\text{KL}}$  between the trainable and frozen policies.

$$D_{\rm KL} = \log \left[ \frac{\pi_{\phi}^{\rm trainable}(y|x)}{\pi^{\rm frozen}(y|x)} \right] \tag{6}$$

For  $r_{\theta}(x, y)$ , we normalized the 5th percentile predicted log fluorescence from the ensemble of reward models relative to CreiLOV or pre-trained designs (See section 6.8). When aligning ESM-2, we masked each position of CreiLOV one-at-a-time and input the sequence with one mask into the fixed ESM-2 model to generate the frozen log probabilities for single mutations prior to training. This time-consuming calculation required a forward pass for each position of CreiLOV but does not need to be repeated during alignment. For each epoch with PPO, we calculated new log probabilities for masked and mutated positions with the trainable ESM-2 policy. We used this method to simplify and expedite the calculation of  $D_{KL}$  each epoch. When aligning the VAE, we considered the likelihood matrices (21 × L with 21 referring to the 20 amino acids and gap tokens and L being the length of CreiLOV) from the trainable and frozen policies for  $D_{KL}$ .

Each PPO epoch involves the following steps:

- 1. Recursively identify the number of masks or noise to generate sequences with an average batch Hamming distance of 5 from CreiLOV.
- 2. Generate log probabilities for amino acids with the frozen policy.
- 3. Generate log probabilities for amino acids with the trainable policy.
- 4. Sample log probabilities from the trainable policy to obtain sequence designs with an average batch Hamming distance of 5 from CreiLOV.
- 5. Calculate the total reward R.
- 6. Calculate probability ratio  $r_t(\theta)$ .
- 7. Calculate the clipped PPO loss objective function.
- 8. Update trainable policy weights.
- 9. Repeat steps 3, 6, 7, and 8 for N 1 more steps to complete a trajectory.

For ESM-2, we iteratively masked the CreiLOV sequence to identify the number of masks to generate sequences with an average batch Hamming distance of 5 from CreiLOV. For VAE alignment, we recursively applied noise to the latent representation of CreiLOV to generate sequences with an average batch Hamming distance of 5 from CreiLOV.

#### 6.6 Computing in silico Evaluation Metrics

We utilized several techniques from literature to calculate top 10% recall and Spearman correlations for models across alignment with RLXF. These metrics are commonly used to evaluate the zero-shot predictions of models [48].

We calculated masked and mutant marginal scores as described in [9]. The masked marginal score is defined as:

Masked Marginal = 
$$\sum_{i \in M} \left[ \log p(x_i = x_i^{mt} | x_{-M}) - \log p(x_i = x_i^{wt} | x_{-M}) \right]$$
(7)

where M is the set of mutated positions,  $x_i^{mt}$  is the mutant amino acid at position i,  $x_i^{wt}$  is the wildtype amino acid at position i, and  $x_{-M}$  represents the sequence with masks at the mutated positions. The mutant marginal score is similarly calculated as:

Mutant Marginal = 
$$\sum_{i \in M} \left[ \log p(x_i = x_i^{mt} | x^{mt}) - \log p(x_i = x_i^{wt} | x^{mt}) \right]$$
(8)

where  $x^{mt}$  is the full mutant sequence.

We calculated pseudo-perplexity scores as described in [4, 49]. The pseudo-perplexity score is defined as:

Pseudo-perplexity(x) = exp 
$$\left\{ -\frac{1}{L} \sum_{i=1}^{L} \log p(x_i | x_{j \neq i}) \right\}$$
 (9)

where L is the length of the sequence, and  $p(x_i|x_{j\neq i})$  is the probability of amino acid  $x_i$  at position i given all other amino acids in the sequence.

We calculated log ratio as described in [45, 43]. The log ratio is defined as:

$$\log \frac{p(x_{\text{Mutant}}|\theta)}{p(x_{\text{wildtype}}|\theta)} \tag{10}$$

where x refers to mutant or wildtype sequence and  $\theta$  refers to the pre-trained VAE parameters.

#### 6.7 In vivo Characterization of RLXF Designs

All designs were codon optimized for expression in E. coli [50] and ordered from Twist Bioscience as clonal genes, in which all design inserts were integrated into the pET-28a(+) expression vector. 100 ng of each design, in addition to wild type and empty plasmid controls, was transformed into chemically competent BL21 E. coli aliquots. 100  $\mu$ L of transformation mix was plated on LB plates supplemented with 50  $\mu$ g/mL of kanamycin, and grown at 37°C overnight. Individual colonies were picked and inoculated in 5 mL LB broth supplemented with kanamycin, and grown overnight at 37°C, shaking at 225 rpm, overnight. In a 96-well optical bottom plate, overnight cultures were diluted 1:100 in 200  $\mu$ L of LB+kanamycin. Using an Agilent BioTek Synergy H1 plate reader, the cultures were grown to an  $OD_{600}$  of at least 0.4, at 37°C with shaking at 225 rpm, before being induced with 0.5 mM of isopropyl  $\beta$ -D-1-thiogalactopyranoside (IPTG). Upon induction, the plate was incubated at  $37^{\circ}$ C with orbital shaking, while concurrently measuring OD<sub>600</sub> and fluorescence emission at 495 nm in 30 minute intervals for 8 hours. For fluorescence excitation and emission, the minimum bandwidth of 9 nm was used, the gain was set at 142, and the Z-position was set to 4.75 mm. To obtain the final normalized fluorescence values for each design, the final raw fluorescence readings were normalized by the corresponding  $OD_{600}$ . The normalized empty plasmid control value was subtracted from all other normalized fluorescence values to account for background signal, and all experimental designs were then normalized against wild type values to obtain the final fluorescence reading relative to wild type. Sequences for which cells did not grow were removed from subsequent analysis. Consequently, we could not obtain data for 2 pre-trained ESM-2 designs, 4 greedy aligned ESM-2 designs, 3 pre-trained VAE designs, and 1 unconditional aligned VAE design. Efforts are currently underway to obtain replicates of the experimental data reported in this manuscript, as well as further in vitro characterization of the best designs including quantum yield assays of the purified ten brightest designs across all models evaluated.

#### 6.8 Model Architecture and Hyperparameter Details

<b>Reward Model Hyperparameters</b>			
Loss	MSE		
Learning Rate	$1 \times 10^{-6}$		
Batch Size	128		
Epochs	2000		
Dropout	0.1		
Patience	400		
Number of Models	100		
Hidden Layer Dim.	400		
Activation	ReLU		
Optimizer	Adam		
Embedding Type	One-Hot		

Table 2: Hyperparameters for each multi-layer perceptron reward model.

<b>Pre-trained VAE Hyperparameters</b>			
Learning Rate	$1 \times 10^{-4}$		
Batch Size	32		
Epochs	1000		
Patience	100		
Weighted $D_{KL}$	Cyclical Annealing Schedule		
Number of Cycles	1		
Embedding Type	One-Hot		
1st Convolutional Layer	21 input channels, 21*16 output channels		
2nd Convolutional Layer	21*16 input channels, 21 output channels		
Kernel size	17		
Padding	1		
Fully Connected Layer Dimensions	400		
Latent Space Dimensions	64		
Optimizer	Adam		
Activation	ReLU, LeakyReLU		

Table 3: Hyperparameters identified by grid search to train VAE. ReLU activations were applied to fully connected layers. LeakyReLU activations were applied to convolutional layers.

SFT ESM-2 Hyperparameters			
ESM-2 Version	35M		
Learning Rate	0.0401		
Learning Rate Multiplier	0.9830		
Learning Rate Multiplier Factor	0.9664		
Batch Size	36		
Epochs	2		
Initial Number of Unfrozen Layers	27		
Number of Layers to Unfreeze Each Epoch	10		
Maximum Number of Unfrozen Layers	82		
Training Positional Embedding	True		
Weights for Cross Entropy Loss	Norm. Log Fluorescence Values (0-1)		
Weight Decay	0.0010		
Gradient Clipping Threshold	1		
Optimizer	Adam		
Warm Restart	Cosine Annealing		
Scheduler	Cosine Annealing		
Quantization	fp16		

Table 4: Hyperparameters for SFT ESM-2 that were identified using Optuna.

RLXF-Aligned ESM-2 Hyperparameters			
Learning Rate	$5 \times 10^{-4}$		
Learning Rate Multiplier	0.9487		
Learning Rate Multiplier Factor	0.9835		
Batch Size	12		
Increment to Increase Batch Size	3		
Maximum Batch Size	20		
Epochs	159		
Iterations	3		
Initial Number of Unfrozen Layers	36		
Number of Layers to Unfreeze Each Epoch	15		
Maximum Number of Unfrozen Layers	82		
Training Positional Embedding	False		
Fitness Advantage	Rel. to Pre-trained Ouputs		
Fitness Batch Norm.	Max		
Batch PPO Loss Norm.	Mean		
Init. $D_{KL}$ Weight	$1 \times 10^{-8}$		
$D_{KL}$ Weight	$1 \times 10^{-7}$		
Pairwise HD Averaging Factor	60.4553		
Number of Reward Models	100		
Epsilon	0.2512		
Weight Decay	$3.1 \times 10^{-3}$		
$D_{KL}$ Backpropagation	False		
Gradient Clipping Threshold	1.5727		
Gradient Clipping Threshold Factor per Epoch	1.2230		
Initial Number of Masks	5		
Exponential Moving Average	True		
Optimizer	Adam		
Warm Restart	Cosine Annealing		
Learning Rate Scheduler	Cosine Annealing		
Quantization	fp16		

Table 5: Hyperparameters for aligning SFT ESM-2 with PPO that were identified with Optuna.

<b>RLXF-Aligned VAE Hyperparameters</b>			
Learning Rate	$7 \times 10^{-4}$		
Learning Rate Multiplier	0.8821		
Learning Rate Multiplier Factor	0.9718		
Batch Size	29		
Increment to Increase Batch Size	9		
Maximum Batch Size	64		
Epochs	27		
Iterations	4		
Initial Number of Unfrozen Layers	6		
Number of Layers to Unfreeze Each Epoch	0		
Amino Acid Sampling	Max Likelihood		
Fitness Advantage	Rel. to CreiLOV Fitness		
Fitness Batch Norm.	Max		
Batch PPO Loss Norm.	Mean		
Initial $D_{\rm KL}$ Weight	$1 \times 10^{-8}$		
D <sub>KL</sub> Weight	$1 \times 10^{-7}$		
Pairwise HD Weighting Factor	89.8218		
Epsilon	0.1826		
Weight Decay	$2.9920 \times 10^{-6}$		
$D_{\rm KL}$ Backpropagation	False		
Gradient Clipping Threshold	2.7783		
Gradient Clipping Threshold Factor per Epoch	2		
Target Hamming Distance from CreiLOV	5		
Exponential Moving Average	True		
Optimizer	Adam		
Warm Restart	Cosine Annealing		
Learning Rate Scheduler	Cosine Annealing		

Table 6: Hyperparameters to align the VAE with RLXF identified with the Optuna.

# 7 Supplementary Tables and Figures

Number of Mutations	Count	Data split
0	2	Training split
1	2,204	Training split
2	176	Training split
3	978	Training split
4	3,565	Training split
5	9,603	Val./Test splits
6-15	151,085	Not used

Table S1: Distribution of mutations across data splits for the CreiLOV DMS dataset used to train the ensemble reward model.



Figure S1: Ensemble of reward models accurately rank fluorescence of withheld sequences with 5 mutations relative to CreiLOV. (a) The ensemble of reward models predict the log fluorescence of test set amino acid sequences with 5 mutations relative to CreiLOV. (b) Mean multiple- squared error loss curves across reward model training.



Figure S2: Distribution of sequences in the curated MSA used to pretrain the convolutional VAE before and after reweighting sequences to account for phylogenetic biases.



Figure S3: Training curves during pre-training of the VAE showing cross-entropy loss,  $D_{KL}$ , and the combined reconstruction loss.

Model	Method	Spearman Correlation	Top 10% Recall
Reward Model	Median Predicted Log Flu-	0.933	0.705
	orescence		
Pre-trained VAE	Log Ratio	0.344	0.162
Aligned VAE	Log Ratio	0.345	0.170
Pre-trained ESM-2	Pseudo-perplexity	0.689	0.332
SFT ESM2	Pseudo-perplexity	0.659	0.490
Aligned ESM2	Pseudo-perplexity	0.679	0.444
Pre-trained ESM-2	Mutant Marginal	0.746	0.365
SFT ESM2	Mutant Marginal	0.667	0.477
Aligned ESM2	Mutant Marginal	0.681	0.444
Pre-trained ESM-2	Masked Marginal	0.759	0.365
SFT ESM2	Masked Marginal	0.667	0.485
Aligned ESM2	Masked Marginal	0.682	0.444

Table S2: Model performance according to various in silico metrics



Figure S4: Single mutant logit heatmap for WT (CreiLOV) with ESM-2 (35M) by masking CreiLOV one position at a time with ESM-2 and saving the logits for the masked position.



Figure S5: Reward model predicted log fluorescence of 1000 sequence designs from the SFT ESM-2 model. Designs in the green regime indicate designs with greater than wild type predicted fluorescence.



Figure S6: Training curves during alignment of ESM-2 showing the progression of  $D_{KL}$ , mean and median ratios of aligned model likelihoods relative to SFT, PPO clipped surrogate objective loss, and fitness advantage of generated designs.



Figure S7: Training curves during alignment of the VAE showing the progression of  $D_{KL}$ , mean and median ratios of aligned model likelihoods relative to SFT, PPO clipped surrogate objective loss, and fitness advantage of generated designs.



Figure S8: Multi-dimensional scaling plots visualizing how aligned models extrapolate beyond the mutation regimes present in training data to design brighter sequence variants.



Figure S9: Kernel density and cumulative density plots of 1000 sequence designs from pre-trained ESM-2 models.



Figure S10: Single mutant logit heatmap for WT (CreiLOV) with SFT ESM-2 (35M) by masking CreiLOV one position at a time with ESM-2 and saving the logits for the masked position. We see SFT ESM2 learned several beneifical mutations such as T7S but resulted in an inability to reconstruct CreiLOV after SFT.